

Rokesh Shetty

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OBJECTIVE


Seeking a position which will help me enhance my technical skills and apply them to develop new and innovative ideas that would be beneficial to fulfil the predefined objectives of the organization

EDUCATIONAL QUALIFICATIONS

2015 Graduate in Bachelors of Commerce (Financial Markets) from Mumbai University 69.00%


2020 Certified Anti Money laundering specialist(ACAMS)

WORK EXPERIENCE

 Organization: **Life insurance corporation international**
Location: KINGDOM OF BAHRAIN
Period: MARCH 2018 – PRESENT
Designation & Process: Supervisor, Claims department

Key Roles & Responsibilities:

- Ensuring that daily SLA's are met by the team.
- Day to day reporting to senior management about productivity of team.
- Analysis of claims according to internal AML procedures and process.
- Conduct enhanced due diligence for high value claims.
- Claims processing and Claims settlement.
- CRS/FATCA remediation.
- Screening the client data base with the updated blocked persons list provided by Central bank of Bahrain.
- Reporting the clients in blocked persons list to Deputy MLRO
- Essential functions: Manage a team of 4 analysts and ensure internal and external SLA's are met with essential AML checks.

 Organization: **Royal Bank of Scotland**
Location: Mumbai, India
Period: January 2017 – November 2017
Designation & Process: Process Associate (Grade 2/ Band 4) Customer Tax Operations(CRS & FATCA)

Key Roles & Responsibilities:

- To investigate system induced indicia, categorize and assign correct CRS/FATCA reporting status.
- Analysis of customer self certification for CRS/FATCA and CDOT legislation along with curing documents.
- Maintaining the Standard operating procedure(SOP) of due diligence AML/KYC
- Ensuring all regulatory requirements are met and integrity of relevant information.
- Essential functions: Reviewing and assigning Customer Tax status for individual customers and entities..



Organization: **State Street Syntel Services Pvt. Limited**

Location: Mumbai, India

Period: June 2015 – December 2016

Designation & Process: Associate; Reconciliation (Transfer Agency)

Key Roles & Responsibilities:

- Reconciling our clients data as per the procedures.
- Ensuring completion of assigned task processing before cut-offs.
- Ensure that all key performance indicators of the assigned process is met & with accuracy and timely.
- Responsible for continuous improvement of process.
- Preparation of daily reports as per the outstanding subscription.
- To co-ordinate with the offshore team for any query.
- Essential functions : Reconciliation & Report Creation.

AREA OF INTEREST / HOBBIES

- Writing
- Listening to Music

PERSONAL INFORMATION

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|-----------------------------------|--|
| Languages | English, Hindi, Tulu, Marathi |
| Other Skills | MS Office 2010 (Excel, Word, PowerPoint) |
| Date of Birth | 07 June 1994 |
| Passport & VISA Status | Holding Indian Passport Valid till 18 July 2026 issued at Mumbai, Maharashtra Valid Work Permit VISA for Kingdom of Bahrain till March 2020 |